



# target | T2S services

## Data Migration Tool File Format Specification

R2023.NOV

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## History of releases

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0.0.1	27/06/2012	First draft	draft
0.0.2	11/09/2012	Second draft	draft
0.0.3	15/10/2012	Remarks from first review by FES, FIT considered	draft
1.0.0	12/11/2012 03/12/2012	Remarks from second review considered Remarks from FIT considered	final
1.0.1	18/12/2012	MSG: swapped chapter 2 and 3	final
1.0.2	11/02/2013	Market feedback	
1.0.3	08/03/2013	Back end interface alignment	
1.0.4	18/10/2013	Final version	
1.2	29/04/2014	CR385, CR386, CR453 and CR454	
1.2.1	19/01/2015	CR460	
1.2.2	30/01/2015	CR for DMT documentation alignment	
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## Approval and agreement workflow

	Team Level		Area Level		Project Level		Agreement	
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## Quality Control

	Quality Control – Domestic Level				Quality Control – PMO Level			
Release	Degree	Checker	Date	Report	Degree	Checker	Date	Report

## Applicable documents

Reference		Object
1	[UDFS]	4CB: T2S User Detailed Functional Specifications, V2.1, 02/12/2015

## Reference documents

Reference		Object
1	[DMT Specification]	ECB : Data Migration Tool Requirements and Related Procedures, V1.2.1, 20/01/2015

<b>Owner domain</b>	Operational Services
<b>Owner module</b>	Data Migration Module

# 1 Introduction

Prior to their going-live the Data Migration Tool (DMT) offers CSDs and NCBs the opportunity to load bulk static and dynamic data into T2S. The data is located in Excel or in flat files that are created by the user and submitted to T2S via a web application. The Excel files are used by a human being that manually transfers the data into the spreadsheet whereas the flat files are used by a legacy application that automatically exports the data into the file.

This document specifies the details of the format of the Excel and flat files for T2S data migration. Excel and flat files are available for all interfaces in the same manner. It is not a user handbook that describes the interaction of the users with DMT.

## 2 Technical Specification

### 2.1 Data Record Definition

This chapter refers to Excel and the flat file in the same manner.

#### 2.1.1 Rows and Columns

A spreadsheet consists of horizontal rows and vertical columns. The columns identify the attributes and are restricted to a specific data type. There is no mixup of different attributes and data types in one column.

#### 2.1.2 Header

The names of the columns appear in the first row. The names support the user to fill out the form but have no further functionality. DMT identifies the attributes based on the location of the column and does not interpret the column names.

#### 2.1.3 Records

A record is a data structure that contains all parameters for a certain business function. A record spans over several rows. This is due to the fact that a record may contain attributes that are repeatable. The repeated attributes are placed in a separate row just below the initial row in the same column.

#### 2.1.4 Record Type

The record type describes the business function of the record. A file may contain several records of the same record type. A mixture of different record types in one file is not possible.

The record type is indicated with a key word in the upper left corner of the file. Since there is only one record type per file the indication occurs only once. For example:



Record Type	Record Id	An Attribute
Party	1	aaa
	2	bbb

### 2.1.5 Record Identification

A record is identified with a unique record identifier. The identifier must be unique within the file. It is recommended that the record identifier starts with 1 and is consecutively numbered.

In case the record contains repeatable attributes and spans over several rows the record identifier is repeated in each row of the record. Rows with the same record identifier are located one after the other. These connected rows must not be interrupted by rows with another record identifier. For example:

Record Type	Record Id	A repeatable Attribute	A non-repeatable Attribute
Party	1	a1	c1
	1	b1	
	2	a2	c2
	2	b2	

### 2.1.6 Default Values

Default values are not used. When a field has no value the Excel field remains empty. For example:

aaa		ccc
-----	--	-----

In a flat file the length of a field with no value is 0. For example:

aaa , , ccc

DMT does not provide default values for empty fields. Any input parameter must be stated within the files.

## 2.2 Further Details

### 2.2.1 Format Types

The values appear in the format as in the table below:

Format Type	Excel	CSV <sup>2</sup>
DATE	The format depends on the country and location of the user.	The format is « dd/mm/yyyy » with no timezone indication. Example: "30/06/2015"
TIME	The format depends on the country and location of the user.	The format is « hh:mm:ss » with no timezone indication. Times are in 24 hour format. Example: "15:30:59"
CHAR (n)	String with exactly n characters.	Same format as Excel.
VARCHAR (n)	String with n characters maximum.	Same format as Excel.
DEC (p,s)	Floating-point number with maximum p integer places and s decimal places. A dot '.' is used as decimal separator. Due to Excel restriction, cell must be treated as text	Floating-point number with maximum p integer places and s decimal places. A dot '.' is used as decimal separator.
NUMERIC (p)	Number with maximum p integer places and no decimal places.	Same format as Excel.
BOOLEAN	Possible values:	Same format as Excel.

<sup>2</sup> The CSV format matches to the Excel format when the regional settings of a Windows PC are „English (Great Britain)“

Format Type	Excel	CSV <sup>2</sup>
	<ul style="list-style-type: none"> <li>  true</li> <li>  false</li> </ul>	

### 2.2.2 ISO 15022 Interoperability

In order to ensure the interoperability to the ISO 15022 standard the character set of all fields is restricted to the SWIFT X Character Set (see below).

Exceptions might occur if special chars are required. Character set restrictions will not apply on these fields which are not used in ISO15022 messages (e.g. Distinguish Name in Party Technical Address for Party) and will not hamper interoperability.

Exceptions are highlighted in the definition of the related fields.

Some fields are restricted in length. The number of characters and integer places is shorter than in ISO 20022. See [UDFS], chapter 2.2.4, for details.

### 2.2.3 Timezones

Timezones do to appear in the data. The timezone is considered the actual timezone of Frankfurt. This is either **CET** (GMT+01:00) or, when a daylight saving hour is applied, **CEST** (GMT+02:00).

### 2.2.4 Character Set

All characters belong to the **SWIFT X Character Set**. The character set is as follows:

a b c d e f g h i j k l m n o p q r s t u v w x y z

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z

0 1 2 3 4 5 6 7 8 9

/ - ? : ( ) . , ' +

CR LF Space

## 2.2.5 Filenames

Any filename is permitted.

### Remark:

The filenames of the provided examples contain the record type, e.g. "DMT.SDMG.Party.100". This helps the user to identify the respective example but is not required by DMT. DMT only examines the file content to recognize the record type (see chapter 2.1.4 for details).

## 2.3 Technical Specification of the Excel File

### 2.3.1 Excel Version

The Excel files adhere to one single distinct version of Microsoft Office.

Comment [A1]: CR645

Detailed information:

- | Version: Microsoft® Office Excel® 2007
- | File Extension: xlsx

### 2.3.2 Restrictions

#### 2.3.2.1 Worksheets

The option that one Excel file may contain several worksheets is not supported. DMT uses only the very first worksheet.

#### 2.3.2.2 Number of Rows

Due to technical limitations the number of rows is restricted.

Detailed information:

- I Maximum number of rows: 50.000<sup>3</sup>

### 2.3.2.3 Size Limits

In addition to the number of rows, the uploaded file can not exceed 9 MB.

## 2.4 Technical Specification of the Flat File

### 2.4.1 Compliancy to RFC 4180

The flat file has a CSV format that follows closely RFC 4180. The RFC specifies a format that is widely used by many implementations and eases the development of an unload process.

Detailed information:

- I File Extension: csv

### 2.4.2 Definition of the CSV Format (RFC 4180)

1. Each row is located on a separate line, delimited by a line break (CRLF). For example:

```
aaa ,bbb ,ccc CRLF
```

```
zzz ,yyy ,xxx CRLF
```

1. The last row in the file has no ending line break. For example:

```
aaa ,bbb ,ccc CRLF
```

```
zzz ,yyy ,xxx
```

---

<sup>3</sup> This number might be further optimised

1. Within each row, there are one or more fields, separated by commas. Each row contains the same number of fields throughout the file. Spaces are considered part of a field and are not ignored. The last field in the record is not followed by a comma. For example:

```
aaa,bbb,ccc
```

1. Each field may or may not be enclosed in double quotes. If fields are not enclosed with double quotes, then double quotes do not appear inside the fields. For example:

```
aaa, "bbb", "ccc" CRLF
```

```
zzz,yyy,"xxx"
```

1. Fields containing line breaks (CRLF), double quotes, and commas are enclosed in double-quotes. For example:

```
aaa, "b CRLF bb",ccc CRLF
```

```
zzz,yyy,xxx
```

1. A double-quote appearing inside a field is escaped by preceding it with another double quote <sup>4</sup>. For example:

```
"aaa", "b" "bb", "ccc"
```

The escaping with double-quotes ensures that all data can appear. Quotes, commas and line breaks may be included into the business data.

### 2.4.3 Control Characters

In order to structure the data in the flat file the following control characters are used:

Carriage Return (CR)	0x0d
Line Feed (LF)	0x0a

---

<sup>4</sup> Actually character « quote » is not allowed. See chapter 2.2.4 for details. Please note that the CSV format definition is independent from the character set.

## 2.4.4 Encoding

The encoding of the flat file is UTF-8 with no Byte Order Mark (BOM).

## 2.4.5 Restrictions

### 2.4.5.1 Number of Rows

Due to technical limitations the number of rows is restricted.

Detailed information:

- Maximum number of rows: 50.000 <sup>5</sup>

### 2.4.5.2 Size Limits

In addition to the number of rows, the uploaded file can not exceed 9 MB.

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<sup>5</sup> This number might be further optimised

## 3 Format of Structured Files

### 3.1 Format of Excel and Flat Files

See chapter 2.2 for the specification and details of the format types.

#### 3.1.1 Technical Prerequisites

##### 3.1.1.1 Record Type Identifier

Prior to the static and dynamic data appears the record type identifier.

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per File
1	A	Record Type	VARCHAR (50)	Indicates the business function. The required value can be found in the first line of the chapters 3.1.2.1 - 3.1.3.1.	Occurs in the 2 <sup>nd</sup> row only.	1..1

#### 3.1.2 Static Data

##### 3.1.2.1 Party Reference Data - New

- Record Type: "Party"

The record is used to create party reference data.

Related activities covered:



**TABLE 1 - ACT.3.1**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record.	1..n	
Group "Party"						1..1	
3	C	Parent BIC	CHAR (11)				1..1
4	D	Type	Possible values:   PMBK   CSDP   ECSD	Classification of the party:   PMBK = Payment bank   CSDP = CSD participant   ECSD = External CSD			1..1
5	E	Opening Date	DATE	Activation date of a party.	Must be equal or greater than the current date.		1..1
6	F	Closing Date	DATE	Closing date of the party.	Must be greater than the Opening Date.		0..1
7	G	BIC	CHAR (11)	Party BIC.			1..1
Group "Name"						1..1	

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
8	H	Long Name	VARCHAR (350)	Long name.			1..1
9	I	Short Name	VARCHAR (35)	Short name.			1..1
Group "Address"					Must occur when Type is 'PMBK' or 'ECSD'. Must not occur when Type is something else.	0..1	
10	J	Street	VARCHAR (70)	Name of the street for the address.			1..1
11	K	House Number	VARCHAR (16)	House number for the address.			1..1
12	L	Postal Code	VARCHAR (16)	Postal code for the address.			1..1
13	M	City	VARCHAR (35)	City for the address.			1..1
14	N	State or Province	VARCHAR (35)	State or the province for the address.			0..1
15	O	Country Code	CHAR (2)	Country code of the address.			1..1
Group "Party Technical Address"						1..10	
16	P	Technical Address	VARCHAR (256)	Unique technical ad-	ISO15022 interopera-		1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
				dress of the party (distinguished name).	bility character set restriction does not apply		
Group "Auto-Collateralisation Rule"					May occur only when Type is 'PMBK'. It is used to provide client-collateralisation service.  This group may only be used by NCBs.	0..1	
17	Q	Collateralisation Procedure	Possible value:   REPO	Type of collateralisation procedure application.  Possible value:   REPO	When Type is 'PMBK' the value must be 'REPO'.		1..1
Group "Market-Specific Attributes"						0..10	
18	R	Market-Specific Party Attribute Name	VARCHAR (35)	Name of the market specific attribute.			1..1
19	S	Market-Specific Party Attribute Value	VARCHAR (350)	Value of the market specific attribute.			1..1
Group "Party Restriction List"						0..10	

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
20	T	Restriction Type	CHAR (4)	List of blocking re- strictions.			1..1
21	U	Restriction Valid From Date	DATE	Valid from date and time of the re- striction.	Must be equal or greater than the cur- rent date and time.		1..1
22	V	Restriction Valid From Time	TIME				1..1
23	W	Restriction Valid To Date	DATE	Valid to date and time of the restriction.	Date and time must occur together.		0..1
24	X	Restriction Valid To Time	TIME				Must be greater than the Valid From date and time.

### 3.1.2.2 Technical Address Network Service Link - New

I Record Type: "Technical Address Network Service Link"

The record is used to create a link between a technical address and a network service.

Related activities covered:

**TABLE 2 - ACT.3.2**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record.	1..n	
Group "Party Identification"						1..1	
3	C	Parent BIC	CHAR (11)	BIC of the System Entity responsible for the party			1..1
4	D	Party BIC	CHAR (11)	BIC of the party			1..1
Group "Technical Address Network Service"						1..1	
5	E	Technical Address	VARCHAR (256)		ISO15022 interoperability character set restriction does not apply	1..1	
6	F	Network Service	VARCHAR (35)			1..1	

### 3.1.2.3 Additional Party Market Specific Attributes - New

I Record Type: "Party Market Specific Attribute"

The record is used to create additional market specific attributes for an existing party.

Related activities covered:

**TABLE 3 - ACT.3.3**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record.	1..n	
Group "Party Identification"						1..1	
3	C	Parent BIC	CHAR (11)	BIC of the System Entity responsible for the party			1..1
4	D	Party BIC	CHAR (11)	BIC of the party			1..1
Group "Market-Specific Attributes"						1..10	
5	E	Market-Specific Party Attribute Name	VARCHAR (35)	Name of the market specific attribute.			1..1
6	F	Market-Specific Party Attribute Value	VARCHAR (350)	Value of the market specific attribute.			1..1

### 3.1.2.4 Securities Account - New

I Record Type: "Securities Account"

The record is used to create a securities account.

Related activities covered:

**TABLE 4 - ACT.4.1**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record.	1..n	
Group "Securities Account"						1..1	
3	C	Securities Account Number	VARCHAR (35)	Unique securities account number.			1..1
4	D	Securities Account Type	Possible values:   CSDM   CSDP   ICSA   TOFF   CSDO   ISSA	Classification of the securities account:   CSDM = CSD mirror account   CSDP = CSD participant account   ICSA = Inter-CSD account   TOFF = T2S technical offset account   CSDO = CSD omnibus account   ISSA = Issuance account			1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
5	E	Opening Date	DATE	Opening date of the securities account.	Must be equal or greater than the current date.		1..1
6	F	Closing Date	DATE	Closing date of the securities account.	Must be greater than the Opening Date.		0..1
7	G	Hold/Release	Possible values:   HOLD   RELE	Default setting for specific settlement instruction related to the securities account:    HOLD = Hold   RELE = Release			1..1
8	H	Negative Position	BOOLEAN	true = The securities account can hold a negative position			1..1
9	I	End Investor Account Flag	Possible values:   NONE   FOPA   DVFO	Additional flags for the securities account:    NONE = No account allocations			1..1



Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
				<ul style="list-style-type: none"> <li>  FOPA = FOP account allocations</li> <li>  DVFO = DVP/FOP</li> </ul>			
10	J	Pricing Scheme	Possible values: <ul style="list-style-type: none"> <li>  SACC</li> <li>  ISIN</li> </ul>	Pricing scheme of the customer: <ul style="list-style-type: none"> <li>  SACC = Securities account</li> <li>  ISIN = Security</li> </ul>			1..1
Group "Account Holder"						1..1	
11	K	Parent BIC	CHAR (11)	Represents the party.			1..1
12	L	BIC	CHAR (11)	Represents the party.			1..1
Group "Market Specific Securites Account Attribute"						0..10	
13	M	Market-Specific Securities Account Attribute Name	VARCHAR (35)	Name of the market specific attribute.			1..1
14	N	Market-Specific Securities Account Attribute Value	VARCHAR (350)	Value of the market specific attribute.			1..1
Group "Securities Account Restriction"						0..10	

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
15	O	Restriction Type	CHAR (4)	Code to identify the restriction.			1..1
16	P	Valid From Date	DATE	Valid from date and time of the securities account.	Must be equal or greater than the current date and time.		1..1
17	Q	Valid From Time	TIME				
18	R	Valid To Date	DATE	Valid to date and time of the securities account.	Must be greater than the Valid From date and time.		0..1
19	S	Valid To Time	TIME				

### 3.1.2.5 Additional Securities Account Market Specific Attributes - New

I Record Type: "Securities Account Market Specific Attribute"

The record is used to create additional market specific attributes for an existing securities account.

Related activities covered:

**TABLE 5 - ACT.4.3**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record.	1..n	
Group "Securities Account Identification"						1..1	
3	C	Securities Account Number	VARCHAR (35)				1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
Group "Market-Specific Attributes"						1..10	
4	D	Market-Specific Securities Account Attribute Name	VARCHAR (35)	Name of the market specific attribute.			1..1
5	E	Market-Specific Securities Account Attribute Value	VARCHAR (350)	Value of the market specific attribute.			1..1

### 3.1.2.6 Securities - New

Record Type: "Security"

The record is used to create a security.

Related activities covered:

**TABLE 6 - ACT.5.1**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record.	1..n	
Group "Securities"						1..1	
3	C	ISIN	CHAR (12)	Actual value for the securities code, (the ISIN for the security).			1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
4	D	CFI Code	CHAR (6)	Classification of the security according to ISO/DIS 10962:2015(E) standard.			1..1
5	E	Issue Date	DATE	Date from which the settlement is allowed for the security.			1..1
6	F	Maturity Date	DATE	Final maturity or expiry date of the security.	Must be equal or greater than the current date.		0..1
7	G	Issue Currency	CHAR (3)	Issue currency of a security in the system using ISO 4217 standard.			1..1
8	H	Country of Issuance	CHAR (2)	Country in which the security is issued.			1..1
Group "Security Name"						1..1	
9	I	Long Name	VARCHAR (350)	Long description of the security according to ISO 18774 stand-			1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
				ard Part 1 and Part 2.			
10	J	Short Name	VARCHAR (35)	Short description of the security (FIDS) according to ISO 18774 standard.			1..1
Group "Security Settlement Data"						1..1	
11	K	Settlement Type	Possible values:   UNIT   FAMT	Type of settlement foreseen for the security:   UNIT = Units   FAMT = Nominal			1..1
12	L	Minimum Settlement Unit	DEC (14,14)	Minimum settlement unit for a security.			1..1
13	M	Settlement Multiple for Units	DEC (14,14)	Settlement multiple for units.			1..1
Group "Deviating Settlement Unit"						0..10	
14	N	Deviating Settlement Unit	DEC (14,14)	Deviating settlement unit for a security.			1..1
Group "Market Specific Attributes"						0..10	
15	O	Market-Specific Secu-	VARCHAR (35)	Name of the market			1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
		Security Attribute Name		specific attribute.			
16	P	Market-Specific Security Attribute Value	VARCHAR (350)	Value of the market specific attribute.			1..1
Group "Security Restriction"						0..10	
17	Q	Restriction Type	CHAR (4)	Reason type applied to the security.			1..1
18	R	Restriction Valid From Date	DATE	Valid from date and time of the security.	Must be equal or greater than the current date and time.		1..1
19	S	Restriction Valid From Time	TIME				
20	T	Restriction Valid To Date	DATE	Valid to date and time of the restriction.	Must be equal or greater than the valid from date and time.		0..1
21	U	Restriction Valid To Time	TIME				

### 3.1.2.7 Securities Market Specific Attributes - New

I Record Type: "Securities Market Specific Attribute"

The record is used for two purposes:

1. to let Security Maintenance Entity create additional market specific attributes for an existing security
2. to let Investor CSDs create their own set of market specific attributes for an existing security

Related activities covered:

**TABLE 7 - ACT.5.2**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record.	1..n	
Group "Security Identification"						1..1	
3	C	ISIN	CHAR (12)	Actual value for the securities code, (the ISIN for the security).			1..1
Group "Market-Specific Attributes"						1..10	
4	D	Market-Specific Party Attribute Name	VARCHAR (35)	Name of the market specific attribute.			1..1
5	E	Market-Specific Party Attribute Value	VARCHAR (350)	Value of the market specific attribute.			1..1

### 3.1.2.8 Investor CSD Security Restrictions - New

I Record Type: "Investor CSD Security Restrictions"

The record is used by Investor CSDs to apply restrictions on securities.

Related activities covered:

**TABLE 8 - ACT.5.3**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record.	1..n	
Group "Securities"							
3	C	ISIN	CHAR (12)	Actual value for the securities code, (the ISIN for the security).			1..1
Group "Security Restriction"						1..10	
4	D	Restriction Type	CHAR (4)	List of blocking restrictions.			1..1
5	E	Restriction Valid From Date	DATE	Valid from date and time of the restriction.	Must be equal or greater than the current date and time.		1..1
6	G	Restriction Valid From Time	TIME				1..1
7	G	Restriction Valid To Date	DATE	Valid to date and time of the restriction.	Must be equal or greater than the valid from date and time.		0..1
8	H	Restriction Valid To Time	TIME				



### 3.1.2.9 CSD Account Link - New

I Record Type: "CSD Account Link"

The record is used to create a link between a technical issuer and a CSD account

Related activities covered:

**TABLE 9 - ACT.4.2**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record.	1..n	
Group "Investor"						1..1	
3	C	Investor Parent BIC	CHAR (11)	Parent BIC related to the investor.			1..1
4	D	Investor BIC	CHAR (11)	BIC related to investor.			1..1
Group "Technical Issuer"						1..1	
5	E	Issuer Parent BIC	CHAR (11)	Parent BIC related to the technical issuer.			1..1
6	F	Issuer BIC	CHAR (11)	BIC related to the technical issuer.			1..1
Group "CSD Account Link"						1..1	
7	G	Valid From	DATE	Valid from date of the	Must be equal or		1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
				link.	greater than the current date.		
8	H	Valid To	DATE	Valid to date of the link.	Must be greater than the Valid From date.		0..1
9	I	CSD Participant Account	VARCHAR (35)	CSD participant account number.	Not allowed if "External CSD Participant Account" is specified.		0..1
10	J	Mirror Account	VARCHAR (35)	Mirror account number.			0..1
11	K	Inter-CSD Account	VARCHAR (35)	Inter-CSD account number.			0..1
12	L	Omnibus Account	VARCHAR (35)	Omnibus account number.			0..1
13	M	External CSD Participant Account	VARCHAR (35)	External CSD participant account number	Not allowed if "CSD Participant Account" is specified.		0..1

### 3.1.2.10 Securities CSD Link - New

I Record Type: "Securities CSD Link"

The record is used to create a securities CSD link.

Related activities covered:

**TABLE 10 - ACT.6.1**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record	1..n	
Group "CSD Link"						1..1	
3	C	ISIN	CHAR (12)	ISIN of the security.			1..1
4	D	Link Type	Possible values:   NVST   ISSR	Possible values:   NVST = Investor   ISSR = Issuer			1..1
5	E	Valid From	DATE	Valid from date of the securities CSD link.	Must be equal or greater than the current date.		1..1
6	F	Valid To	DATE	Valid to date of the securities CSD link.	Must be greater than the Valid From date.		0..1
7	G	Issuer/Investor Parent BIC	CHAR (11)	Issuer / investor parent BIC.			1..1
8	H	Issuer/Investor BIC	CHAR (11)	Issuer / investor BIC.			1..1
9	I	Security Maintenance	BOOLEAN	'true' = the involved CSD (issuer / technical issuer / investor) is			1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
				also the main-tainer of the related reference data			
10	J	Technical Issuer Parent BIC	CHAR (11)	Technical issuer parent BIC.	Both values must occur together.		0..1
11	K	Technical Issuer BIC	CHAR (11)	Technical issuer BIC.			0..1
Group "Issuance Account"						0..10	
12	L	Issuance Account	VARCHAR (35)	Issuance account number.			1..1
13	M	Primary	BOOLEAN	<ul style="list-style-type: none"> <li>true = The account is the primary issuance account (the one used for realignment chain)</li> </ul>	At least one and only one issuance account must be primary.		1..1

### 3.1.2.11 CMB Securities Account Links - New

- Record Type: "CMB Securities Account Link"

The record is used to create a CMB securities account link.

Related activities covered:

**TABLE 11 - ACT.15.1**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record.	1..n	
Group "Account Link"						1..1	
3	C	Securities Account Number	VARCHAR (35)	Number of the related securities account.			1..1
4	D	T2S Dedicated Cash Account Number	VARCHAR (34)	DCA of the CMB the securities account number is linked to			1..1
5	E	Valid From	DATE	Valid from date and time of the CMB securities accounts link.	Must be equal or greater than the current date.		1..1
6	F	Valid To	DATE	Valid to date and time of the CMB securities accounts link.	Must be greater than the Valid From date.		0..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
7	G	Default Link	BOOLEAN	<ul style="list-style-type: none"> <li>true = The T2S dedicated cash account is the default link for the securities account.</li> </ul>			1..1
8	H	Collateralisation Link	BOOLEAN	<ul style="list-style-type: none"> <li>true = T2S can use the securities, earmarked as collateral and held on the securities account, for auto-collateralisation operations on the T2S dedicated cash account it is linked to.</li> </ul>			1..1
9	I	Cash Settlement Link	BOOLEAN	<ul style="list-style-type: none"> <li>true = T2S can use the link between the securities account and the T2S dedicat-</li> </ul>			1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
				ed cash account for the settlement of the cash leg of a settlement instruction.			

### 3.1.2.12 User - New

I Record Type: "User"

The record is used to create a user.

Related activities covered:

**TABLE 12 - ACT.16.1**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record.	1..n	
Group "User"						1..1	
3	C	Login Name	VARCHAR (35)	Login name.			1..1
4	D	Name	VARCHAR (127)	Name of the user.			1..1
5	E	System User Reference	VARCHAR (35)	System reference of the user.			1..1
6	F	Lockout From Date	DATE	Date and time when	Must be equal or		0..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
7	G	Lockout From Time	TIME	the user is locked out from the system.	greater than the current date and time.  Date and time cannot be specified when "Lockout" = false.  Date and time are mandatory when "Lockout" = true		0..1
8	H	Lockout	BOOLEAN	<ul style="list-style-type: none"> <li>true = The user cannot enter the system after the Lockout From Date and Time</li> </ul>			1..1
Group "Party"						1..1	
9	I	Parent BIC	CHAR (11)	Party parent BIC.			
10	J	BIC	CHAR (11)	Party BIC.			1..1

### 3.1.2.13 Roles - New

Record Type: "Role"

The record is used to create a role.

Related activities covered:



**TABLE 13 - ACT.16.2**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record	1..n	
Group "Role"						1..1	
3	C	Role Name	VARCHAR (35)	Name of the role.			1..1
4	D	Role Description	VARCHAR (127)	Description of the role.			1..1

### 3.1.2.14 Secured Group - New

I Record Type: "Secured Group"

The record is used to create a secured group.

Related activities covered:

**TABLE 14 - ACT.16.3**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record	1..n	
Group "Secured Group"						1..1	
3	C	Secured Group Type	Possible values: I PART	Classification for the secured group:			1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
			<ul style="list-style-type: none"> <li>  SECU</li> <li>  SACC</li> <li>  CASH</li> </ul>	<ul style="list-style-type: none"> <li>  PART = Parties</li> <li>  SECU = Securities</li> <li>  SACC = Securities Accounts</li> <li>  CASH = T2S Dedicated Cash Accounts</li> </ul>			
Group "Secured Object: Parties"					Occurs only when Secured Group Type is 'Parties'.	0..10	
4	D	Parent BIC	CHAR (11)	Parent BIC of the secured party objects.			1..1
5	E	BIC	CHAR (11)	BIC of the secured party objects.			1..1
Group "Secured Object: Securities"					Occurs only when Secured Group Type is 'Securities'.	0..10	
6	F	ISIN	CHAR (12)	ISIN of the secured security objects.			1..1
Group "Secured Object: Securities Accounts"					Occurs only when	0..10	

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
					Secured Group Type is 'Securities Accounts'.		
7	G	Securities Account Number	VARCHAR (35)	Securities account number of the secured securities accounts object.			1..1
Group "Secured Object: T2S Dedicated Cash Accounts"					Occurs only when Secured Group Type is 'T2S Dedicated Cash Accounts'.	0..10	
8	H	T2S Dedicated Cash Account Number	VARCHAR (34)	T2S dedicated cash account number of the secured security objects.			1..1

### 3.1.2.15 Grant Roles - New

I Record Type: "Grant Role"

The record is used to grant a role to a party and/or a user.

Related activities covered:

**TABLE 15 - ACT.16.4**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record.	1..n	
Group "Role"						1..1	
3	C	Role Name	VARCHAR (35)	Name of the role to be granted.			1..1
Group "User"						0..1	
4	D	User	VARCHAR (35)	Login name of the user.	Mandatory if group "Party" is not specified, Not allowed otherwise.		1..1
Group "Party"						0..1	
5	E	Parent BIC	CHAR (11)	Parent BIC of the party.			1..1
6	F	BIC	CHAR (11)	BIC of the party.			1..1

### 3.1.2.16 Grant System Privilege - New

I Record Type: "Grant System Privilege"

The record is used to grant a system privilege to a party, user or role.

Related activities covered:

**TABLE 16 - ACT.16.4**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record.	1..n	
Group "Role"					One and only one of the groups "Role", "Party" or "User" must occur.	0..1	
3	C	Role Name	VARCHAR (35)	Name of the role.			1..1
Group "Party"					One and only one of the groups "Role", "Party" or "User" must occur.	0..1	
4	D	Parent BIC	CHAR (11)	Parent BIC of the party.			1..1
5	E	BIC	CHAR (11)	BIC of the party.			1..1
Group "User"					One and only one of	0..1	

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
					the groups "Role", "Party" or "User" must occur.		
6	F	User	VARCHAR (35)	Login name of the user.			1..1
Group "Privilege"						1..1	
7	G	Privilege Name	VARCHAR (35)	Name of the system privilege.	ISO15022 interoperability character set restriction does not apply		1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
8	H	Deny Option	BOOLEAN	<ul style="list-style-type: none"> <li>  true = The system privilege is explicitly denied</li> <li>  false = The system privilege is explicitly assigned</li> </ul>			1..1
9	I	4-Eyes Option	BOOLEAN	<ul style="list-style-type: none"> <li>  true = The 4-eyes principle is required to perform the activity linked to the system privilege</li> <li>  false = The 2-eyes principle is required to perform the activity linked to the system privilege</li> </ul>			1..1
10	J	Administration Option	BOOLEAN	<ul style="list-style-type: none"> <li>  true = If the grantee of the privilege is a user or a role the</li> </ul>			1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
				<p>grantee is allowed to grant the same privilege to another user or role of the same party. If the grantee of the privilege is a party, the party administrators of the grantee party are allowed to grant the same privilege also to other parties.</p> <p>  false = If the grantee of the privilege is a user or a role the grantee is not allowed to grant the same privilege to another user or role of</p>			



Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
				the same party. If the grantee of the privilege is a party, the party administrators of the grantee party are allowed to grant the same privilege only to users and roles of the same party.			

### 3.1.2.17 Grant Object Privilege - New

I Record Type: "Grant Object Privilege"

The record is used to grant an object privilege to a party, user or role. Except "Secured Group" all secured element types are covered. For secured element type "Secured Group" see chapter 3.1.2.18.

Related activities covered:

**TABLE 17 - ACT.16.4**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record.	1..n	
Group "Role"					One and only one of the groups "Role", "Party" or "User" must occur.	0..1	
3	C	Role Name	VARCHAR (35)	Name of the role.			1..1
Group "Party"					One and only one of the groups "Role", "Party" or "User" must occur.	0..1	
4	D	Parent BIC	CHAR (11)	Parent BIC of the party.			1..1
5	E	BIC	CHAR (11)	BIC of the party.			1..1
Group "User"					One and only one of the groups "Role", "Party" or "User" must occur.	0..1	
6	F	User	VARCHAR (35)	Login name of the user.			1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
Group "Privilege"						1..1	
7	G	Secured Element Type	Possible values:   SYSE   PART   SECU   PAACSACC   CASH	Possible values:   SYSE = System Entity   PART = Party   SECU = Security   PAAC = Party and its accounts   SACC = Securities Account   CASH = T2S Dedicated Cash Account			1..1
Group "Party"/"Party and its accounts"					Occurs only when Secured Element Type is PART (Party) or PAAC (Party and its accounts).	0..1	
8	H	Parent BIC	CHAR (11)	Parent BIC of the party.			1..1
9	I	BIC	CHAR (11)	BIC of the party.			1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
Group "Security"					Occurs only when Secured Element Type is 'Security'.	0..1	
10	J	ISIN	CHAR (12)	ISIN of the security.			1..1
Group "Securities Account"					Occurs only when Secured Element Type is 'Securities Account'.	0..1	
11	K	Security Account Number	VARCHAR (35)	Number of the security account.			1..1
Group "T2S Dedicated Cash Account"					Occurs only when Secured Element Type is 'T2S Dedicated Cash Account'.	0..1	
12	L	T2S Dedicated Cash Account Number	VARCHAR (34)	Number of the T2S dedicated cash account.			1..1
Group "System Entity"					Occurs only when Secured Element Type is SYSE (System Entity)	0..1	

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
13	M	BIC	CHAR (11)	BIC.			1..1
Group "Administration"						1..1	
15	N	Privilege Name	VARCHAR (35)	Name of the object privilege.	ISO15022 interoperability character set restriction does not apply		1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
16	O	Deny Option	BOOLEAN	<ul style="list-style-type: none"> <li>  true = The object privilege is explicitly denied</li> <li>  false = The object privilege is explicitly assigned</li> </ul>			1..1
17	P	4-Eyes Option	BOOLEAN	<ul style="list-style-type: none"> <li>  true = The 4-eyes principle is required to perform the activity linked to the object privilege</li> <li>  false = The 2-eyes principle is required to perform the activity linked to the object privilege</li> </ul>			1..1
18	Q	Administration Option	BOOLEAN	<ul style="list-style-type: none"> <li>  true = If the grantee of the privilege is a user or a role the</li> </ul>			1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
				<p>grantee is allowed to grant the same privilege to another user or role of the same party. If the grantee of the privilege is a party, the party administrators of the grantee party are allowed to grant the same privilege also to other parties.</p> <p>  false = If the grantee of the privilege is a user or a role the grantee is not allowed to grant the same privilege to another user or role of</p>			

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
				the same party. If the grantee of the privilege is a party, the party administrators of the grantee party are allowed to grant the same privilege only to users and roles of the same party.			

### 3.1.2.18 Grant Object Privilege (Secured Group) - New

Record Type: "Grant Object Privilege (Secured Group)"

The record is used to grant an object privilege to a party, user or role. As secured element type only "Secured Group" is covered. For the other secured element types see chapter 3.1.2.17. The record does not refer to an existing secured group. Instead, a new secured group is created.

Related activities covered:



**TABLE 18 - ACT.16.4**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record.	1..n	
Group "Role"					One and only one of the groups "Role", "Party" or "User" must occur.	0..1	
3	C	Role Name	VARCHAR (35)	Name of the role.			1..1
Group "Party"					One and only one of the groups "Role", "Party" or "User" must occur.	0..1	
4	D	Parent BIC	CHAR (11)	Parent BIC of the party.			1..1
5	E	BIC	CHAR (11)	BIC of the party.			1..1
Group "User"					One and only one of the groups "Role", "Party" or "User" must occur.	0..1	
6	F	User	VARCHAR (35)	Login name of the user.			1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
Group "Secured Group"						1..1	
7	G	Secured Group Identifier	NUMERIC (9)	Identifier of the secured group as get from related U2A screen.			1..1
Group "Administration"						1..1	
8	H	Privilege Name	VARCHAR (35)	Name of the object privilege.	ISO15022 interoperability character set restriction does not apply		1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
9	I	Deny Option	BOOLEAN	<ul style="list-style-type: none"> <li>  true = The object privilege is explicitly denied</li> <li>  false = The object privilege is explicitly assigned</li> </ul>			1..1
10	J	4-Eyes Option	BOOLEAN	<ul style="list-style-type: none"> <li>  true = The 4-eyes principle is required to perform the activity linked to the object privilege</li> <li>  false = The 2-eyes principle is required to perform the activity linked to the object privilege</li> </ul>			1..1
11	K	Administration Option	BOOLEAN	<ul style="list-style-type: none"> <li>  true = If the grantee of the privilege is a user or a role the</li> </ul>			1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
				<p>grantee is allowed to grant the same privilege to another user or role of the same party. If the grantee of the privilege is a party, the party administrators of the grantee party are allowed to grant the same privilege also to other parties.</p> <p>  false = If the grantee of the privilege is a user or a role the grantee is not allowed to grant the same privilege to another user or role of</p>			

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
				the same party. If the grantee of the privilege is a party, the party administrators of the grantee party are allowed to grant the same privilege only to users and roles of the same party.			

### 3.1.2.19 Message Subscription Rule Set - New

I Record Type: "Message Subscription Rule Set"

The record is used to create message subscription rules and a message subscription rule set and the relationship among the rule set and a list of parties.

Related activities covered:

**TABLE 19 - ACT.17.1**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record.	1..n	
Group "Message Subscription Rule Set"						1..1	

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
3	C	Name	VARCHAR (35)	Name of the message subscription rule set.			1..1
4	D	Description	VARCHAR (350)	Description of the message subscription rule set.			1..1
5	E	Valid From	DATE	Valid from date of the message subscription rule set.	Must be greater than the current date.		1..1
6	F	Valid To	DATE	Valid to date of the message subscription rule set.	Must be greater than the Valid From date.		0..1
7	G	Positive/Negative Parameter Set	BOOLEAN	<ul style="list-style-type: none"> <li>  true = The message subscription rule set must be used in positive way</li> <li>  false = The message subscription rule set must be used in negative way</li> </ul>			1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
Group "Interested Party"						0..10	
8	H	Parent BIC	CHAR (11)	Parent BIC of the interested party.			1..1
9	I	Party BIC	CHAR (11)	BIC of the interested party.			1..1

### 3.1.2.20 Message Subscription Rule - New

Record Type: "Message Subscription Rule"

The record is used to create message subscription rules connected to an existing message subscription rule set.

I Related activities covered:

**TABLE 20 - ACT.17.2**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record.	1..n	
Group "Message Subscription Rule Set"						1..1	
3	C	Name	VARCHAR (35)	Name of the message subscription rule set.			1..1
Group "Message Subscription Rule"						1..1	
4	D	Sequence Number	NUMERIC (9)	Sequence related to			1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
				the rule.			
5	E	Valid From	DATE	Valid from date of the message subscription rule.	Must be greater than the current date.		1..1
6	F	Valid To	DATE	Valid to date of the message subscription rule.	Must be equal or greater than the valid from date.		0..1
Group "Message Subscription Rule Parameter"					Each Message Subscription Rule may have up to 10 Message Subscription Rule Parameters.	1..10	
7	G	Group	VARCHAR (35)				1..1
8	H	Parameter Type Name	Name of the parameter type to be set for the rule. Allowed values are: <ul style="list-style-type: none"><li>  INTP (Instruction Type)</li><li>  MXST (Message Status)</li></ul>				1..1



Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
			<ul style="list-style-type: none"> <li>  PART (PARTY )</li> <li>  SACC (Securities Account)</li> <li>  ISIN</li> <li>  CASH (T2S DCA)</li> <li>  INST (Instruction Status)</li> <li>  TRCD (Transaction Code)</li> <li>  CURR (Currency)</li> <li>  AMFL (Already Matched Flag)</li> <li>  MXID (Message Identification)</li> <li>  SECO (Settlement Transaction Condition Code)</li> <li>  BUPA (Business Sending Party)</li> <li>  BUSE (System User Reference)</li> </ul>				

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
			I INPA (Instructing Party)				
9	I	Parameter Type Value	VARCHAR (35)	Value for the parameter type	Mandatory when Parameter Type Name is: INTP, MXST, INST, TRCD, CURR, AMFL, MXID, SECO, BUSE; not allowed otherwise		0..1
10	J	Parameter Parent BIC Identifier	CHAR(11)		Mandatory when Parameter Type Name is: PART Not allowed otherwise.		0..1
11	K	Parameter Party BIC Identifier	CHAR(11)		Mandatory when Parameter Type Name is: PART Not allowed otherwise.		0..1
12	L	Parameter Securities Account Identifier	VARCHAR(35)		Mandatory when Parameter Type Name		0..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
					is: SACC Not allowed otherwise.		
13	M	Parameter Securities Identifier	CHAR(12)		Mandatory when Parameter Type Name is: ISIN Not allowed otherwise.		0..1
14	N	Parameter Cash Account Identifier	VARCHAR(34)		Mandatory when Parameter Type Name is: CASH Not allowed otherwise.		0..1
15	O	Parameter Business Sending Parent BIC Identifier	CHAR(11)		Mandatory when Parameter Type Name is: BUPA Not allowed other-		0..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
					wise.		
16	P	Parameter Business Sending Party BIC Identifier	CHAR(11)		Mandatory when Parameter Type Name is: BUPA Not allowed otherwise.		0..1
17	Q	Parameter Instructing Party Parent BIC Identifier	CHAR(11)		Mandatory when Parameter Type Name is: INPA Not allowed otherwise.		0..1
18	R	Parameter Instructing Party Party BIC Identifier	CHAR(11)		Mandatory when Parameter Type Name is: INPA Not allowed otherwise.		0..1

### 3.1.2.21 Restriction Type - New

Record Type: "Restriction Type"

The record is used to create a restriction type.

I Related activities covered:

**TABLE 21 - ACT.18.1**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record.	1..n	
Group "Restriction Type"						1..1	
3	C	Restriction Type	CHAR(4)	Restriction type code.			1..1
4	D	Description	VARCHAR (350)	Description of the restriction type.			1..1
5	E	Valid From	DATE	Valid from date of the restriction type.	Must be equal or greater than the current date.		1..1
6	F	Valid To	DATE	Valid to date of the restriction type.	Must be greater than the Valid From date.		0..1
7	G	Object Restriction Type	Possible values: I CBAL I XACC	Possible values: I CBAL = Cash balance I XACC = External			1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
			<ul style="list-style-type: none"> <li>  PART</li> <li>  SECU</li> <li>  SACCSPPOS</li> <li>  SETT</li> <li>  INPM</li> <li>  INBM</li> <li>  SYSE</li> <li>  CASH</li> </ul>	<p>RTGS account</p> <ul style="list-style-type: none"> <li>  PART = Party</li> <li>  SECU = Security</li> <li>  SACC = Securities account</li> <li>  SPOS = Securities position</li> <li>  SETT = Settlement instruction</li> <li>  INPM = Intra-Position Movement Instructions</li> <li>  INBM = Intra-balance Movement Instructions</li> <li>  SYSE = System entity</li> <li>  CASH = T2S Dedicated cash account</li> </ul>			

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
8	H	Restriction Processing Type	Possible values:   BALA   BLCK   COLL   COSD   VAHO   DELI   REJE   RESE	Possible values:   BALA = Balance Type / Earmarking   BLCK = Blocking   COLL = Collateralized   COSD = CoSD Blocking   VAHO = CSD Validation Hold   DELI = Deliverable   REJE = Rejection   RESE = Reservation			1..1
9	I	Currency	CHAR (3)		Must occur when the Restriction Processing Type is 'Earmarking for Auto-collateralization'.		0..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
10	J	Positive / Negative Parameter Set	BOOLEAN	<ul style="list-style-type: none"> <li>true = the restriction is applied if the rules are satisfied..</li> </ul>			1..1

### 3.1.2.22 Restriction Type Rule - New

Record Type: "Restriction Type Rule"

The record is used to create a restriction type rule.

Related activities covered:

**TABLE 22 - ACT.18.2**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record.	1..n	
Group "Restriction Type"						1..1	
3	C	Restriction Type	CHAR(4)	Restriction type code.			1..1
Group "Restriction Type Rule"						1..1	
4	D	Sequence Number	NUMERIC (9)	Sequence number.			1..1
5	E	Valid From	DATE	Valid from date of restriction type rule.	Must be equal or greater than the cur-		1..1



Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
					rent date.		
6	F	Valid To	DATE	Valid to date of the restriction type rule.	Must be greater than the Valid From date.		0..1
Group " Restriction Type Rule Parameter"						0..10	
7	G	Group	VARCHAR (35)				1..1
8	H	Restriction Type Rule Parameter Name	Name of the parameter type to be set for the rule.  Allowed values are: <ul style="list-style-type: none"> <li>  'SMOV' Securities Movement Type</li> <li>  'PMNT' Payment</li> <li>  'TRID' Transaction Identification</li> <li>  'AOPT' Party Type (of the Account Owner)</li> <li>  'OBPT' Party Type (of the instr. Party)</li> <li>  'PART' Party</li> </ul>		Mandatory if Market Specific Attribute Name is not used.  Not allowed otherwise.		0..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
			'SECU' Security Identifier				
			'CNIS' Country of Issuance				
			'CRDB' Credit Debit Indicator				
			'BLFR' Balance From				
			'BLTO' Balance To				
			'MTST' Matching Status				
			'SACT' Securities Account				
			'SETC' Settlement Currency				
			'CACT' T2S Dedicated Cash Account				
			'CATP' T2S Dedicated Cash Account Type				

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
9	I	Market-Specific Attribute Name	VARCHAR (35)	Name of the market specific attribute.	Mandatory if Restriction Type Rule Parameter Name is not used.  Not allowed otherwise.		0..1
10	J	Restriction Type Rule Parameter Value	VARCHAR (35)		Mandatory when Market-Specific Attribute Name is specified or Restriction Type Rule Parameter Name is:  SMOV PMNT AOPT OBPT CNIS CRDB BLFR BLTO MTST		0..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
					TRID SETC CATP Not allowed other-wise.		
11	K	Party Parent BIC Parameter Value	CHAR(11)		Mandatory when Restriction Type Rule Parameter Name is: PART Not allowed other-wise.		0..1
12	L	Party BIC Parameter Value	CHAR(11)		Mandatory when Restriction Type Rule Parameter Name is: PART Not allowed other-wise.		0..1
13	M	Securities Parameter Value	CHAR(12)		Mandatory when Restriction Type Rule Parameter Name is: SECU		0..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
					Not allowed otherwise.		
14	N	Securities Account Parameter Value	VARCHAR(35)		Mandatory when Restriction Type Rule Parameter Name is: SACT Not allowed otherwise.		0..1
15	O	Dedicated Cash Account Parameter Value	VARCHAR(34)		Mandatory when Restriction Type Rule Parameter Name is: CACT Not allowed otherwise.		0..1
16	P	Debit	BOOLEAN	Specifies if the rule applies on the debit leg of the settlement instruction	Include only if Market-Specific Attribute Name is used		0..1
17	Q	Credit	BOOLEAN	Specifies if the rule applies on the credit leg of the settlement	Include only if Market-Specific Attribute Name is used		0..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
				instruction			

### 3.1.2.23 Conditional Securities Delivery Rule Set - New

I Record Type: "CoSD Rule Set"

The record is used to create a conditional securities delivery rule set.

Related activities covered:

**TABLE 23 - ACT.20.1**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record.	1..n	
						1..1	
3	C	Name	VARCHAR (35)	Name of the conditional securities delivery rule set.			1..1
4	D	Description	VARCHAR (350)	Description of the conditional securities delivery rule set.			1..1
5	E	Administering Parent BIC	CHAR (11)	BIC of the parent of the administering party.			1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
6	F	Administering Party BIC	CHAR (11)	BIC of the administering party			1..1
7	G	Valid From	DATE	Valid from date of the conditional securities delivery rule set.	Must be equal or greater than the current date.		1..1
8	H	Valid To	DATE	Valid to date of the conditional securities delivery rule set.	Must be greater than the Valid From date.		0..1
9	I	Block on Cash Side	BOOLEAN	<ul style="list-style-type: none"> <li>true = T2S shall block cash when an instruction is marked for conditional delivery</li> </ul>			1..1
10	J	Block on Securities Side	BOOLEAN	<ul style="list-style-type: none"> <li>true = T2S shall block securities when an instruction is marked for conditional delivery</li> </ul>			1..1

### 3.1.2.24 Conditional Securities Delivery Rule - New

- Record Type: "CoSD Rule"

The record is used to create a conditional securities delivery rule.

Related activities covered:

**TABLE 24 - ACT.20.2**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record.	1..n	
Group "Conditional Securities Delivery Rule Set"						1..1	
3	C	CoSD Rule Set Name	VARCHAR (35)	Name of the conditional securities delivery rule set.			1..1
Group "Conditional Securities Delivery Rule"						1..1	
4	D	Sequence Number	NUMERIC (9)	Sequence related to the rule.			1..1
5	E	Valid From	DATE	Valid from date of the conditional securities delivery rule.	Must be equal or greater than the current date.		1..1
6	F	Valid To	DATE	Valid to date of the conditional securities delivery rule.	Must be greater than the Valid From date.		0..1
7	G	CoSD Processing	BOOLEAN	<ul style="list-style-type: none"> <li>  true = The settlement instruc-</li> </ul>			1..1



Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
				tion that matches with the rule is to settle conditionally			
Group "Conditional Securities Delivery Rule Parameters"						1..10	
8	H	Group	VARCHAR (35)				1..1
9	I	Conditional Securities Delivery Rule Parameter Name	Name of the parameter type to be set for the rule.  Allowed values are: <ul style="list-style-type: none"> <li>  ISIN</li> <li>  STCR (Settlement Currency)</li> <li>  CSD</li> <li>  SACC (Securities Account)</li> <li>  COIS (Country of Issuance)</li> <li>  PLSE (Place of Settlement)</li> <li>  ISSU (Issuer CSD</li> </ul>				1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
			in T2S)				
			DELI (Delivering CSD in T2S)				
			RECE (Receiving CSD in T2S)				
			IBIC (BIC of Issuer CSD in T2S)				
			DBIC (BIC of Delivering CSD in T2S)				
			RBIC (BIC of Receiving CSD in T2S)				
			TRCD (ISO transaction code)				
			SMTP (Securities movement type)				
			PTPC (Payment type code)				
			CDND(Credit Debit indicator)				
			STCC(Settlement				

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
			transaction condition code)				
10	J	Conditional Securities Delivery Rule Parameter Value	VARCHAR (35)		Mandatory when Conditional Securities Delivery Rule Parameter Name is: STCR COIS TRCD SMTP PTPC CDND STCC Not allowed otherwise.		0..1
11	K	Parameter parent BIC Identifier	CHAR(11)		Mandatory when Conditional Securities Delivery Rule Parameter Name is: CSD PLSE		0..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
					Not allowed otherwise.		
12	L	Parameter Party BIC Identifier	CHAR(11)		Mandatory when Conditional Securities Delivery Rule Parameter Name is:  CSD PLSE IBIC DBIC RBIC  Not allowed otherwise.		0..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
13	M	Parameter Securities Identifier	CHAR(12)		Mandatory when Conditional Securities Delivery Rule Parameter Name is: ISIN Not allowed otherwise.		0..1
14	N	Parameter Securities Account Identifier	VARCHAR(35)		Mandatory when Conditional Securities Delivery Rule Parameter Name is: SACC Not allowed otherwise.		0..1
15	O	Parameter CSD Flag Value	BOOLEAN		Mandatory when Conditional Securities Delivery Rule Parameter Name is: ISSU DELI RECE		0..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
					Not allowed otherwise.		

### 3.1.2.25 Report Configuration - New

Record Type: "Report Configuration"

The record is used to create a report configuration.

Related activities covered:

**TABLE 25 - ACT.21.1**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record.	1..n	
Group "Report Configuration"						1..1	
3	C	Configuration Name	VARCHAR (35)				1..1
4	D	Configuration Description	VARCHAR (350)				1..1
5	E	Delta Mode	BOOLEAN				1..1
6	F	Report Name	Possible values: Statement of Holdings	Name of the report type.			1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
			<ul style="list-style-type: none"> <li>  Statement of Transactions</li> <li>  Statement of Pending Instructions</li> <li>  Statement of Settlement Allegements</li> <li>  Statement of Accounts</li> <li>  SD T2S DCA Statement</li> <li>  SD Securities AccountsStatement</li> <li>  SD Securities Statement</li> <li>  SD Party Statement</li> <li>  Current Business Day Cash Forecast</li> <li>  Next Business</li> </ul>				

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
			Day Cash Forecast				
			Executed AI on Cash				
			Executed AI on Securities				
			Executed CI on Cash				
			Executed CI on Securities				
			Pending AI on Cash				
			Pending AI on Securities				
			Pending CI on Cash				
			Pending CI on Securities				
			Pending Restrictions on Cash				
			Pending Restrictions on Se-				



Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
			<ul style="list-style-type: none"> <li>curities</li> <li>  Settled Re-strictions on Cash</li> <li>  Settled Re-strictions on Se-curities</li> </ul>				
7	G	System Entity Wide Reporting Flag	BOOLEAN	<ul style="list-style-type: none"> <li>  true = System entity wide re-ported</li> </ul>			1..1
Group "Report Configuration Party Link"						0..10	
8	H	Parent BIC	CHAR (11)	Parent BIC of the linked party.			1..1
9	I	Party BIC	CHAR (11)	Party BIC of the linked party.			1..1
10	J	Push Mode	BOOLEAN	<ul style="list-style-type: none"> <li>  true = The recip-ient gets the re-port in push mode</li> <li>  false = The recip-ient gets the re-port in pull mode</li> </ul>			1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
11	K	Execution Time	TIME	Time of the execution of the report.	Mandatory if Event Type is not specified. Not allowed otherwise.		0..1
12	L	Event Type	CHAR (4)	Code of the event type that triggers the report.	Mandatory if Execution Time is not specified Not allowed otherwise.		0..1
13	M	Valid From	DATE	Starting date for the validity period.	Must be greater than the current date.		1..1
14	N	Valid To	DATE	Ending date for the validity period.	Must be equal or greater than the Valid From date.		0..1
15	O	Currency	CHAR(3)	Currency linked to the Report Configuration			0..1

### 3.1.2.26 Eligible Counterpart CSD - New

I Record Type: "Eligible Counterpart CSD"

The record is used to create an eligible counterpart CSD.

Related activities covered:

**TABLE 26 - ACT.22.1**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record.	1..n	
3	C	Valid From	DATE	Valid from date.	Must be equal or greater than the current date.		1..1
4	D	Valid To	DATE	Valid to date.	Must be greater than the Valid From date.		0..1
Group "Investor"						1..1	
5	E	Investor Parent BIC	CHAR (11)	Parent BIC of the investor.			1..1
6	F	Investor BIC	CHAR (11)				1..1
Group "Eligible Counterpart CSD"						1..1	
7	G	Eligible counterpart CSD Parent BIC	CHAR (11)	Parent BIC of the eligible counterpart CSD.			1..1
8	H	Eligible counterpart CSD BIC	CHAR (11)				1..1
Group "Eligibility Type"						1..1	

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
9	I	Eligibility Type	Possible values:   SECU   CTRY   ISCS	Possible values:   SECU = Securities   CTRY = Country   ISCS = Issuer CSD			1..1
10	J	ISIN	CHAR (12)	Security identification.	Must occur if Eligibility Type is 'Securities'. Otherwise the cell must be empty.		0..1
11	K	Country code	CHAR (2)		Must occur if Eligibility Type is 'Country'. Otherwise the cell must be empty.		0..1
12	L	Issuer Parent BIC	CHAR (11)	External issuer.	Must occur if Eligibility Type is 'Issuer CSD'. Otherwise the cell must be empty.		0..1
13	M	Issuer BIC	CHAR (11)				

### 3.1.2.27 Certificate Distinguished Name

- | Record Type: "Certificate DN"

The record is used to create a certificate distinguished name.

**TABLE 27 - ACT.16.5**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.		1..1	
3	C	Certificate Distinguished Name	VARCHAR (256)		ISO15022 interoperability character set restriction does not apply	1..1	

### 3.1.2.28 User Certificate Distinguished Name Link

- Record Type: "User Certificate DN Link"

The record is used to create a link between a Certificate DN and a T2S System User.

**TABLE 28 - ACT.16.6**

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.		1..1	
3	C	Certificate Distinguished Name	VARCHAR (256)		ISO15022 interoperability character set restriction does not apply	1..1	
4	D	Login Name	VARCHAR (35)	T2S User's login name.		1..1	
5	E	Default	BOOLEAN			1..1	

3.1.2.29 Restrictions on Securities Account – New

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record.	1..n	
Group "Securities Account"						1..1	
3	C	Securities Account Number	VARCHAR (35)	Unique securities account number.			1..1
Group "Securities Account Restriction"						1..10	

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
4	D	Restriction Type	CHAR (4)	Code of the restriction to be applied.			1..1
5	E	Restriction Valid From Date	DATE	Valid from date and time of the restriction.	It is optional in case of immediate removal of restrictions. Must be equal to or greater than the current date and time, except for the immediate setup of restrictions. In this case the value is "1000-01-01 00:01:00".		0..1
6	G	Restriction Valid From Time	TIME				0..1
7	G	Restriction Valid To Date	DATE	Valid to date and time of the restriction.	Must be equal to or greater than the valid from date and time, except for the immediate removal of restrictions. In this case the value is "9999-12-31 23:59:00".		0..1
8	H	Restriction Valid To Time	TIME				0..1

### 3.1.2.30 Restrictions on T2S Dedicated Cash Accounts – New

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record.	1..n	
Group "T2S Dedicated Cash Account"						1..1	
3	C	T2S Dedicated Cash Account Number	VARCHAR (34)	Unique T2S Dedicated Cash Account number.			1..1
Group "T2S Dedicated Cash Account Restriction"						1..10	
4	D	Restriction Type	CHAR (4)	Code of the restriction to be applied.			1..1
5	E	Restriction Valid From Date	DATE	Valid from date and time of the re-	It is optional in case of immediate removal		0..1



Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
6	G	Restriction Valid From Time	TIME				0..1
7	G	Restriction Valid To Date	DATE	Valid to date and time of the restriction.	Must be equal to or greater than the valid from date and time, except for the immediate removal of restrictions. In this case the value is "9999-12-31 23:59:00".		0..1
8	H	Restriction Valid To Time	TIME				0..1

### 3.1.2.31 Euro Foreign Exchange Reference Rate - New

I Record Type: "Euro Foreign Exchange Reference Rate"

This record is used to create a Euro Foreign Exchange Reference Rate used to perform the daily computation of cash penalties.

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.	Must occur in each line of the record.	1..1	
Group "Euro Foreign Exchange Reference Rate"						1..1	

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
3	C	Currency Code	CHAR(3)	Currency for which the rate is provided.			1..1
4	D	Daily Exchange Rate	DEC(14,5)	Actual rate.			1..1
5	E	Exchange Rate Date	DATE	Date for which the rate is provided			1..1

### 3.1.3 Dynamic Data

#### 3.1.3.1 Free of Payment Settlement Instruction

I Record Type: "FOP"

The record is used to create a free of payment settlement instruction.

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.		1..1
3	C	Instructing Party Parent BIC	VARCHAR (11)			1..1
4	D	Instructing Party BIC	VARCHAR (11)			1..1
5	E	T2S Actor Instruction Reference	VARCHAR (16) RestrictedFIN- Max16Text_T2S_1	Transaction identification.		1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record
6	F	Securities Movement Type Code	Possible values:   DELI   RECE	DELI = Delivery   RECE = Receive		1..1
7	G	Payment Type Code	Possible value:   FREE	FREE = FoP instruction		1..1
8	H	Trade Date	DATE		Must be equal or earlier than the Intended Settlement Date	1..1
9	I	Intended Settlement Date	DATE	Go-live date		1..1
10	J	Already matched instruction	Possible values:   MACH   NMAT	MACH = Already matched instruction   NMAT = Unmatched instruction		1..1
11	K	Common Reference	VARCHAR (16) RestrictedFIN- Max16Text_T2S_1			1..1
12	L	ISIN	CHAR(12)			1..1
13	M	Settlement Type	Possible values:	Type of quantity:		1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record
			<ul style="list-style-type: none"> <li>  UNIT</li> <li>  FAMT</li> </ul>	<ul style="list-style-type: none"> <li>  UNIT = Unit</li> <li>  FAMT = Face Amount</li> </ul>		
14	N	Settlement Quantity	DEC (14,14)* when Settlement Type is 'UNIT' DEC (14,5)* when Settlement Type is 'FAMT'			1..1
15	O	Securities Account Number	VARCHAR (35)	Safekeeping account.		1..1
16	P	ISO Transaction Code	Possible values: <ul style="list-style-type: none"> <li>  AUTO</li> <li>  BSBK</li> <li>  BYIY</li> <li>  CLAI</li> <li>  CNCB</li> <li>  COLI</li> <li>  COLO</li> <li>  CONV</li> <li>  CORP</li> <li>  ETFT</li> </ul>	Securities transaction type: <ul style="list-style-type: none"> <li>  AUTO = Auto Collateralisation</li> <li>  BYIY = BuyIn</li> <li>  BSBK = Buy Sell Back</li> <li>  CLAI = Market Claim</li> <li>  CNCB = Central Bank Collateral Operation</li> <li>  COLI = Collateral In</li> </ul>		1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record
			FCTA	COLO = Collateral		
			INSP	Out		
			ISSU	CONV = Depository		
			MKDW	Receipt Conversion		
			MKUP	CORP = Corporate		
			NETT	Action		
			NSYN	ETFT = Exchange		
			OWNE	Traded Funds		
			OWNI	FCTA = Factor Up-		
			PAIR	date		
			PLAC	INSP = Move of		
			PORT	Stock		
			REAL	ISSU = Issuance		
			REDI	MKDW = Mark Down		
			REDM	MKUP = Mark Up		
			RELE	NETT = Netting		
			REPU	NSYN = Non Syнди-		
			RODE	cated		
			RVPO	OWNE = External		
				Account Transfer		
				OWNI = Internal Ac-		

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record
			SBBK	count Transfer		
			SBRE	PAIR = Pair Off		
			SECB	PLAC = Placement		
			SECL	PORT = Portfolio		
			SLRE	Move		
			SUBS	REAL = Realignment		
			SWIF	REDI = Withdrawal		
			SWIT	REDM = Redemption		
			SYND	RELE = Depository		
			TBAC	Receipt Release		
			TRAD	Cancellation		
			TRPO	REPU = Repo		
			TRVO	RODE = Return De-		
			TURN	livery without Match-		
				ing		
				RVPO = Reverse Re-		
				purchase Agreement		
				SBBK = Sell Buy		
				Back		
				SBRE = Borrowing		
				Reallocation		

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record
				<ul style="list-style-type: none"> <li>  SECB = Securities Borrowing</li> <li>  SECL = Securities Lending</li> <li>  SLRE = Lending Re-allocation</li> <li>  SUBS = Subscription</li> <li>  SWIF = Switch From</li> <li>  SWIT = Switch To</li> <li>  SYND = Syndicate Underwriters</li> <li>  TBAC = TBA Closing</li> <li>  TRAD = Trade</li> <li>  TRPO = Triparty Repo</li> <li>  TRVO = Triparty Reverse Repo</li> <li>  TURN = Turnaround</li> </ul>		
17	Q	Receiving Depository BIC	VARCHAR (11)		Must occur when Securities Movement Type Code is 'DELI'.	0..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record
18	R	T2S Receiving Party BIC	VARCHAR (11)		Must occur when Securities Movement Type Code is 'DELI'.	0..1
19	S	T2S Receiving Party Securities Account	VARCHAR (35)		Must occur when when Securities Movement Type Code is 'DELI' and Already matched instruction is 'MACH'.	0..1
20	T	Delivering Depository BIC	VARCHAR (11)		Must occur when Securities Movement Type Code is 'RECE'.	0..1
21	U	T2S Delivering Party BIC	VARCHAR (11)		Must occur when Securities Movement Type Code is 'RECE'.	0..1
22	V	T2S Delivering Party Securities Account	VARCHAR (35)		Must occur when Securities Movement Type Code is 'RECE' and Already matched instruction is 'MACH'.	0..1
23	W	Delivering Securities Sub-Balance Type Id	CHAR(4)	Set up an earmarked position.	Can only be filled in if Securities Movement Type Code is 'DELI' or if	0..1



Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record
					the settlement instruction is sent already matched	
24	X	Delivering Securities Sub-Balance Type Issuer	Possible value:   T2S		Must be present if Delivering Securities Sub-Balance Type Id is present.	0..1
25	Y	Delivering Securities Sub-Balance Type Scheme Name	Possible value:   RT	RT = Restriction Type	Must be present if Delivering Securities Sub-Balance Type Id is present.	0..1
26	Z	Receiving Securities Sub-Balance Type Id	CHAR(4)	Set up an earmarked position.	Can only be filled in if Securities Movement Type Code is 'RECE' or if the instruction is sent as already matched	0..1
27	AA	Receiving Securities Sub-Balance Type Issuer	Possible value:   T2S		Must be present if Receiving Securities Sub-Balance Type Id is present.	0..1
28	AB	Receiving Securities Sub-Balance Type Scheme	Possible value:   RT	RT = Restriction Type	Must be present if Receiving Securities Sub-	0..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record
		Name			Balance Type Id is present	

RestrictedFINMax16Text\_T2S\_1 :

| Pattern: [0-9a-zA-Z|-|?:\(|\)|,|'+]/?([0-9a-zA-Z|-|?:\(|\)|,|'+ ]/?)\*[0-9a-zA-Z|-|?:\(|\)|,|'+]+)?

### 3.1.3.2 Settlement Restriction

| Record Type: "Settlement Restriction"

The record is used to create a settlement restriction.

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.		1..1	
3	C	Instructing Party Parent BIC	VARCHAR (11)			1..1	
4	D	Instructing Party BIC	VARCHAR (11)			1..1	
5	E	T2S Actor Instruction Reference	VARCHAR (16) - RestrictedFIN-Max16Text_T2S_1	Transaction identification.		1..1	
6	F	Intended Settlement Date	DATE			1..1	
7	G	Priority	Possible values:	Values for priority:		0..1	

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
			<ul style="list-style-type: none"> <li>  0001</li> <li>  0003</li> <li>  0004</li> </ul>	<ul style="list-style-type: none"> <li>  0001 = Reserved</li> <li>  0003 = High</li> <li>  0004 = Normal</li> </ul>			
8	H	Securities Account Number	VARCHAR (35)			1..1	
9	I	ISIN	CHAR(12)			1..1	
10	J	Settlement Type	Possible values: <ul style="list-style-type: none"> <li>  UNIT</li> <li>  FAMT</li> </ul>	Type of quantity: <ul style="list-style-type: none"> <li>  UNIT = Unit</li> <li>  FAMT = Face Amount</li> </ul>		1..1	
11	K	Settlement Quantity	DEC (14,14)* when Settlement Type is 'UNIT'  DEC (14,5)* when Settlement Type is 'FAMT'			1..1	
12	L	Corporate Action Event id	VARCHAR(16) - RestrictedFIN-Max16Text_T2S_1			0..1	
13	M	Balance From	CHAR(4)	AWAS to indicate		1..1	

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
				movement FROM "Deliverable" position			
14	N	Balance To	CHAR(4)	AWAS to indicate movement TO "Deliverable" position		1..1	
15	O	Restriction Reference Id	VARCHAR(30)	Restriction Reference as assigned by T2S during the setup of a restriction		0..1	
16	P	Link Instruction Counter	NUMERIC(3)	Number of instructions that are included in the pool, if the instruction belongs to a pool.	Mandatory if the instruction belongs to a pool.	0..1	
Group "Linkage"						0..100	
17	Q	Link Processing Position	Possible values:   AFTE   BEFO   INFO   WITH	Type of linkage			0..1
18	R	Securities Settlement	VARCHAR (16) -	Reference of the Set-	Only one reference		0..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
		Transaction id	RestrictedFIN- Max16Text_T2S_1	tlement Instruction to be linked	must be provided per occurrence of linkag- es block.		
19	S	Intra Position Move- ment Id	VARCHAR (16) - RestrictedFIN- Max16Text_T2S_1	Reference of the Se- curities Settlement Restriction to be linked	Only one reference must be provided per occurrence of linkag- es block.		0..1
20	T	Intra Balance Move- ment Id	VARCHAR (16) - RestrictedFIN- Max16Text_T2S_1	Reference of the Cash Settlement Restriction to be linked	Only one reference must be provided per occurrence of linkag- es block.		0..1
21	U	Account Servicer Transaction Id	VARCHAR (16) - RestrictedFIN- Max16Text_T2S_1	Reference of the in- structions provided by the Account Servicer	Only one reference must be provided per occurrence of linkag- es block.		0..1
22	V	Market Infrastructure Transaction Id	VARCHAR (16) - RestrictedFIN- Max16Text_T2S_1	T2S reference of the instruction to be linked	Only one reference must be provided per occurrence of linkag- es block.		0..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
23	W	Pool Id	VARCHAR (16) - RestrictedFIN- Max16Text_T2S_1	Pool Identification to be linked	Only one reference must be provided per occurrence of linkages block.		0..1
24	X	Other Transaction Id	VARCHAR (16) - RestrictedFIN- Max16Text_T2S_1	Reference of the instruction provided by a Third Party	Only one reference must be provided per occurrence of linkages block.		0..1
25	Y	Reference Owner BIC	VARCHAR (11)	BIC of the reference's owner	Must occur when one of the following fields is present: <ul style="list-style-type: none"> <li>  Securities Settlement Transaction Id</li> <li>  IntraPositionMovement Id</li> <li>  IntraBalanceMovement Id</li> <li>  AccountServicer Transaction Id</li> <li>  Pool Id</li> <li>  Other Transac-</li> </ul>		0..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
					tion Id		

RestrictedFINMax16Text\_T2S\_1 :

| Pattern: [0-9a-zA-Z|-|?:|(\\)|,|'+]/?([0-9a-zA-Z|-|?:|(\\)|,|'+ ]/?)\*[0-9a-zA-Z|-|?:|(\\)|,|'+]+)?

### 3.1.3.3 Pending Instruction

| Record Type: "Pending Instruction"

The record is used to create a pending instruction.

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
2	B	Record Id	NUMERIC (10)	Unique identifier of the record.		1..1	
3	C	Instructing Party Parent BIC	VARCHAR (11)			1..1	
4	D	Instructing Party BIC	VARCHAR (11)			1..1	
5	E	T2S Actor Instruction Reference	VARCHAR (16) RestrictedFIN- Max16Text_T2S_1	Transaction identification.		1..1	
6	F	Securities Movement Type Code	Possible values:   DELI   RECE	DELI = Delivery   RECE = Receive		1..1	

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
7	G	Payment Type Code	Possible value:   FREE   APMT	FREE = FoP instruction   APMT = Against Payment		1..1	
8	H	Trade Date	DATE		Must be equal or earlier than the Intended Settlement Date	1..1	
9	I	Intended Settlement Date	DATE			1..1	
10	J	Already matched instruction	Possible values:   MACH   NMAT	MACH = Already matched instruction   NMAT = Unmatched instruction		0..1	
11	K	Common Reference	VARCHAR (16) RestrictedFIN- Max16Text_T2S_1			0..1	
12	L	ISIN	CHAR(12)			1..1	
13	M	Settlement Type	Possible values:	Type of quantity:		1..1	



Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
			<ul style="list-style-type: none"> <li>  UNIT</li> <li>  FAMT</li> </ul>	<ul style="list-style-type: none"> <li>  UNIT = Unit</li> <li>  FAMT = Face Amount</li> </ul>			
14	N	Settlement Quantity	DEC (14,14)* when Settlement Type is 'UNIT'  DEC (14,5)* when Settlement Type is 'FAMT'			1..1	
15	O	Securities Account Number	VARCHAR (35)	Safekeeping account.		1..1	
16	P	ISO Transaction Code	Possible values: <ul style="list-style-type: none"> <li>  AUTO</li> <li>  BSBK</li> <li>  BYIY</li> <li>  CLAI</li> <li>  CNCB</li> <li>  COLI</li> <li>  COLO</li> <li>  CONV</li> </ul>	Securities transaction type: <ul style="list-style-type: none"> <li>  AUTO = Auto Collateralisation</li> <li>  BSBK = Buy Sell Back</li> <li>  BYIY = BuyIn</li> <li>  CLAI = Market Claim</li> <li>  CNCB = Central</li> </ul>		1..1	

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
			CORP	Bank Collateral Operation			
			FCTA				
			INSP	COLI = Collateral In			
			ISSU	COLO = Collateral Out			
			MKDW				
			MKUP	CONV = Depository Receipt Conversion			
			NETT				
			NSYN				
			OWNE	CORP = Corporate Action			
			OWNI				
			PAIR	FCTA = Factor Update			
			PLAC				
			PORT	INSP = Move of Stock			
			REAL	ISSU = Issuance			
			REDI	MKDW = Mark Down			
			REDM				
			RELE	MKUP = Mark Up			
			REPU	NETT = Netting			
			RODE	NSYN = Non			

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
			RPTO	Syndicated			
			RVPO	OWNE = External Account Transfer			
			SBBK	OWNI = Internal Account Transfer			
			SBRE	PAIR = Pair Off			
			SECB	PLAC = Place- ment			
			SECL	PORT = Portfolio Move			
			SLRE	REAL = Realign- ment			
			SUBS	REDI = With- drawal			
			SWIF	REDM = Re- demption			
			SWIT	RELE = Deposito- ry Receipt Re- lease Cancellation			
			SYND	REPU = Repo			
			TBAC	RODE = Return			
			TRAD				
			TRPO				
			TRVO				
			TURN				

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
				Delivery without Matching   RPTO = Reporting   RVPO = Reverse Repurchase Agreement   SBBK = Sell Buy Back   SBRE = Borrowing Reallocation   SECB = Securities Borrowing   SECL = Securities Lending   SLRE = Lending Reallocation   SUBS = Subscription   SWIF = Switch From   SWIT = Switch			

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
				<p>To</p> <ul style="list-style-type: none"> <li>  SYND = Syndicate Underwriters</li> <li>  TBAC = TBA Closing</li> <li>  TRAD = Trade</li> <li>  TRPO = Triparty Repo</li> <li>  TRVO = Triparty Reverse Repo</li> <li>  TURN = Turnaround</li> </ul>			
17	Q	Receiving Depository BIC	VARCHAR (11)		<p>Must occur when Securities Movement Type Code is 'DELI'.</p> <p>Must occur when T2S Receiving Party BIC is included</p>	0..1	
18	R	T2S Receiving Party BIC	VARCHAR (11)		<p>Must occur when Securities Movement Type Code is 'DELI'.</p>	0..1	

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
					Must be present if Receiving CSD Participant's Client BIC is present.		
19	S	T2S Receiving Party Securities Account	VARCHAR (35)		Must occur when Securities Movement Type Code is 'DELI' and Already matched instruction is 'MACH'.	0..1	
20	T	Delivering Depository BIC	VARCHAR (11)		Must occur when Securities Movement Type Code is 'RECE'. Must occur when T2S Delivering Party BIC is included	0..1	
21	U	T2S Delivering Party BIC	VARCHAR (11)		Must occur when Securities Movement Type Code is 'RECE'. Must be present if Delivering CSD Participant's Client BIC is present.	0..1	

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
22	V	T2S Delivering Party Securities Account	VARCHAR (35)		Must occur when Securities Movement Type Code is 'RECE' and Already matched instruction is 'MACH'.	0..1	
23	W	Delivering Securities Sub-Balance Type Id	CHAR(4)	Set up an earmarked position.	Can only be filled in if Securities Movement Type Code is 'DELI' or it is sent already matched	0..1	
24	X	Delivering Securities Sub-Balance Type Issuer	Possible value:   T2S		Must be present if Delivering Securities Sub-Balance Type Id is present.	0..1	
25	Y	Delivering Securities Sub-Balance Type Scheme Name	Possible value:   RT	RT = Restriction Type	Must be present if Delivering Securities Sub-Balance Type Id is present.	0..1	
26	Z	Receiving Securities Sub-Balance Type Id	CHAR(4)	Set up an earmarked position.	Can only be filled in if Securities Movement Type Code is 'RECE' or it is sent already	0..1	

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
					matched		
27	AA	Receiving Securities Sub-Balance Type Issuer	Possible value: T2S		Must be present if Receiving Securities Sub-Balance Type Id is present.	0..1	
28	AB	Receiving Securities Sub-Balance Type Scheme Name	Possible value: RT	RT = Restriction Type	Must be present if Receiving Securities Sub-Balance Type Id is present.	0..1	
29	AC	Corporate Action Event id	VARCHAR(16) - RestrictedFIN-Max16Text_T2S_1	For migrating pending instructions with ISD in the past, this field has to be filled in with the special migration codeword.		0..1	
30	AD	Cash Account	VARCHAR(34)		Must be present if "Debtor Dedicated Cash Account Number" is present and Credit/Debit Indicator is 'DBIT'  Must be present if	0..1	



Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
					"Creditor Dedicated Cash Account Number" is present and Credit/Debit Indicator is 'CRDT'		
31	AE	Hold Indicator	BOOLEAN Possible values: <ul style="list-style-type: none"> <li>  true</li> <li>  false</li> </ul>	Possible values: <ul style="list-style-type: none"> <li>  'true': For unmatched instructions, the instruction is set on hold For already matched instructions, at least one of the legs of the transaction is set on hold depending on the Hold Status Type.</li> <li>  'false': For unmatched instructions, no hold applies in settlement instruction.</li> </ul>	<ul style="list-style-type: none"> <li>  If the hold indicator is set to true, at least one occurrence of Hold Status Type must be present.</li> <li>  If the hold indicator is set to false or empty, the Hold Status Types column must not be present.</li> </ul>	0..1	

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
				<p>For already matched instructions, no hold applies in any leg of the transaction.</p> <ul style="list-style-type: none"> <li>If not specified, SAC's default value is applied.</li> </ul>			
32	AF	Priority	<p>Possible values:</p> <ul style="list-style-type: none"> <li>0001</li> <li>0003</li> <li>0004</li> </ul>	<p>Values for priority:</p> <ul style="list-style-type: none"> <li>0001 = Reserved</li> <li>0003 = High</li> <li>0004 = Normal</li> </ul>		0..1	
33	AG	Partial Indicator	<p>Possible values:</p> <ul style="list-style-type: none"> <li>NPAR</li> <li>PARC</li> <li>PARQ</li> <li>PART</li> </ul>	<p>Threshold type and type of partial settlement:</p> <ul style="list-style-type: none"> <li>NPAR = Partial-NotAllowed</li> <li>PARC = PartialSettlementCashThresholdAllowed</li> </ul>		0..1	

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
				<ul style="list-style-type: none"> <li>  PARQ = PartialSettlementQuantityThresholdAllowed</li> <li>  PART = PartialAllowed</li> </ul>			
34	AH	Modification/Cancellation allowed	Possible values: TRUE FALSE BOTH NONE	Description of values : <ul style="list-style-type: none"> <li>  TRUE = only the instructed leg can be modified / cancelled</li> <li>  FALSE =                             <ul style="list-style-type: none"> <li>– In Already Matched SIs, the instructed leg cannot be modified / cancelled, but the T2S generated counter-leg can</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>  For an unmatched settlement instruction only the following codes for the Modification Cancellation Allowed are allowed:                             <ul style="list-style-type: none"> <li>– TRUE</li> <li>– FALSE</li> </ul> </li> <li>  If any value is specified:                             <ul style="list-style-type: none"> <li>– In Already Matched SIs default value</li> </ul> </li> </ul>	0..1	

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
				<ul style="list-style-type: none"> <li>- In Unmatched SIs, the instructed leg cannot be modified / cancelled</li> <li>  BOTH = both legs can be modified / cancelled</li> <li>  NONE = neither the instructed nor the T2S generated leg can be modified / cancelled</li> </ul>	<ul style="list-style-type: none"> <li>is BOTH</li> <li>- In Unmatched SIs default value is TRUE</li> <li>-</li> </ul>		
35	AI	Delivering CSD Participant's Client BIC	VARCHAR(11)		Must be present if Delivering Party 3 BIC is present	0..1	
36	AJ	Delivering Party 3 BIC	VARCHAR(11)		Must be present if Delivering Party 4 BIC is present	0..1	
37	AK	Delivering Party 4 BIC	VARCHAR(11)			0..1	

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
38	AL	Receiving CSD Participant's Client BIC	VARCHAR(11)		Must be present if Receiving Party 3 BIC is present	0..1	
39	AM	Receiving Party 3 BIC	VARCHAR(11)		Must be present if Receiving Party 4 BIC is present	0..1	
40	AN	Receiving Party 4 BIC	VARCHAR(11)			0..1	
41	AO	Debtor Party BIC	VARCHAR(11)			0..1	
42	AP	Debtor Dedicated Cash Account Number	VARCHAR(34)			0..1	
43	AQ	Creditor Party BIC	VARCHAR(11)			0..1	
44	AR	Creditor Dedicated Cash Account Number	VARCHAR(34)			0..1	
45	AS	Settlement Amount	DEC(14,5)		Must occur when Payment Type Code is 'APMT'  <i>"For the triplet currency – credit/debit code - Settlement amount, all of them are optional for FOP"</i>	0..1	

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
					<i>instructions. However as soon as one of them is filled in, the other two become mandatory. (I.e. In case of payment Type Code is 'FREE', the field 'Settlement Amount' is optional, but if it is filled in, the fields 'Currency' and 'Credit/Debit Indicator' must be informed too. Therefore, in case any of the three APMT related fields is filled in, the three of them must be filled in together)"</i>		
46	AT	Currency	CHAR(3)		Must occur when Payment Type Code is 'APMT'  "For the triplet currency – credit/debit	0..1	

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
					<i>code - Settlement amount, all of them are optional for FOP instructions. However as soon as one of them is filled in, the other two become mandatory. (I.e. In case of payment Type Code is 'FREE', the field 'Settlement Amount' is optional, but if it is filled in, the fields 'Currency' and 'Credit/Debit Indicator' must be informed too. Therefore, in case any of the three APMT related fields is filled in, the three of them must be filled in together)"</i>		
47	AU	Credit/Debit Indicator	Possible values:   CRDT	Credit/Debit code:   CRDT = Credit	Must occur when Payment Type Code	0..1	

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
			I DBIT	I DBIT = Debit	<p>is 'APMT'</p> <p><i>"For the triplet currency – credit/debit code - Settlement amount, all of them are optional for FOP instructions. However as soon as one of them is filled in, the other two become mandatory. (I.e. In case of payment Type Code is 'FREE', the field 'Settlement Amount' is optional, but if it is filled in, the fields 'Currency' and 'Credit/Debit Indicator' must be informed too. Therefore, in case any of the three APMT related fields is filled in, the three of them must be filled in</i></p>		



Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
					<i>together)</i> "		
48	AV	Link Instruction Counter	NUMERIC (3)		Mandatory if the instruction belongs to a pool.	0..1	
Group "Linkage"						0..100	
49	AW	Link Processing Position	Possible values:   AFTE   BEFO   INFO   WITH	Type of linkage			0..1
50	AX	Securities Settlement Transaction id	VARCHAR (16) - RestrictedFIN- Max16Text_T2S_1	Reference of the Settlement Instruction to be linked	Only one reference must be provided per occurrence of linkages block.		0..1
51	AY	Intra Position Movement Id	VARCHAR (16) - RestrictedFIN- Max16Text_T2S_1	Reference of the Securities Settlement Restriction to be linked	Only one reference must be provided per occurrence of linkages block.		0..1
52	AZ	Intra Balance Movement Id	VARCHAR (16) - RestrictedFIN- Max16Text_T2S_1	Reference of the Cash Settlement Restriction to be linked			0..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
53	BA	Account Servicer Transaction Id	VARCHAR (16) - RestrictedFIN-Max16Text_T2S_1	Reference of the instructions provided by the Account Servicer	Only one reference must be provided per occurrence of linkages block.		0..1
54	BB	Market Infrastructure Transaction Id	VARCHAR (16) - RestrictedFIN-Max16Text_T2S_1	T2S reference of the instruction to be linked	Only one reference must be provided per occurrence of linkages block.		0..1
55	BC	Pool Id	VARCHAR (16) - RestrictedFIN-Max16Text_T2S_1	Pool Identification to be linked	Only one reference must be provided per occurrence of linkages block.		0..1
56	BD	Other Transaction Id	VARCHAR (16) - RestrictedFIN-Max16Text_T2S_1	Reference of the instruction provided by a Third Party	Only one reference must be provided per occurrence of linkages block.		0..1
57	BE	Reference Owner BIC	VARCHAR (11)	BIC of the reference's owner	Must occur when one of the following fields is present: <ul style="list-style-type: none"> <li>Securities Settlement Transaction Id</li> </ul>		0..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
					<ul style="list-style-type: none"> <li>  IntraPositionMovement Id</li> <li>  IntraBalanceMovement Id</li> <li>  AccountServicerTransaction Id</li> <li>  Pool Id</li> <li>  Other Transaction Id</li> </ul>		
Group "Trade Transaction Condition"						0..1	
58	BF	Trade Transaction Condition Code	Possible values: <ul style="list-style-type: none"> <li>  BCBL</li> <li>  BCBN</li> <li>  BCFD</li> <li>  BCPD</li> <li>  BCRO</li> <li>  BCRP</li> <li>  CBNS</li> <li>  CCPN</li> <li>  CDIV</li> </ul>	Trade Transaction Code: <ul style="list-style-type: none"> <li>  BCBL = BoardLot</li> <li>  BCBN = BadName</li> <li>  BCFD = DeliveryForm</li> <li>  BCPD = DeliveryCountryIncorporation</li> <li>  BCRO =</li> </ul>			1..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
			CRTS	ResultOption			
			CWAR	BCRP = Re- sultRepo			
			GTDL	CBNS = CumBo- nus			
			MAPR	CCPN =			
			NEGO	CumCoupon			
			NMPR	CDIV = CumDivi- dend			
			SPCU	CRTS = Cum- Rights			
			SPEX	CWAR = Cum- Warrant			
			XBNS	GTDL = Guar- anteedDelivery			
			XCPN	MAPR = Mar- ketPrice			
			XDIV	NEGO = Negoti- atedTrade			
			XRTS	NMPR = NonMar- ketPrice			
			XWAR				

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
				<ul style="list-style-type: none"> <li>  SPCU = Special-CumDividend</li> <li>  SPEX = SpecialExDividend</li> <li>  XBNS = ExBonus</li> <li>  XCPN = ExCoupon</li> <li>  XDIV = ExDividend</li> <li>  XRTS = ExRights</li> <li>  XWAR = ExWarrant</li> </ul>			
Group "Hold Types"						0..2	
59	BG	Hold Status Types	Possible values: <ul style="list-style-type: none"> <li>  CSDH</li> <li>  PTYH</li> <li>  PRCY</li> <li>  BOTH</li> </ul>	Type of hold: <ul style="list-style-type: none"> <li>  CSDH = CSD Hold only the instructed leg is put on CSD Hold</li> <li>  PTYH = only the instructed leg is put on PartyHold</li> </ul>	<ul style="list-style-type: none"> <li>  At least one occurrence must be present if Hold Indicator is set to true</li> <li>  If the hold indicator is set to false or empty, the</li> </ul>		0..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
				<ul style="list-style-type: none"> <li>  PRCY = only the T2S generated counterleg is put on Party Hold</li> <li>  BOTH = both legs are put on Party Hold</li> </ul>	<ul style="list-style-type: none"> <li>  Hold Status Types column must not be present.</li> <li>  If more than one Hold Reason occurs, the same value cannot be repeated within the same Instruction.</li> <li>  Only the following combinations within the same instruction are possible:                             <ul style="list-style-type: none"> <li>– CSDH and PTYH</li> <li>– CSDH and PRCY</li> <li>– CSDH and BOTH</li> </ul> </li> <li>  For an un-</li> </ul>		

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
					<p>matched settle- ment instruction only the following codes for the Hold indicator are allowed:</p> <ul style="list-style-type: none"> <li>  CSDH</li> <li>  PTYH</li> <li>  For an already matched settle- ment instruction (i.e. if field Al- ready matched instruction has value MACH)the following codes for the Hold indi- cator are al- lowed:</li> </ul> <ul style="list-style-type: none"> <li>– CSDH</li> <li>– PTYH</li> <li>– PRCY</li> <li>– BOTH</li> </ul>		

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
Group "Settlement Transaction Condition"						0..3	
60	BH	Settlement Transaction Condition	Possible values:   ADEA   ASGN   BSSP   BUTC   CLEN   DIRT   DLWM   DRAW   EXER   EXPI   FRCL   KNOC   NOMC   PENS   PHYS   RESI   RHYP	Settlement Transaction Condition Code:   ADEA = AcceptedAfterRegularSettlementDeadline   ASGN = Assignment   BSSP = BuyInPartiallySuccessful   BUTC = BuytoCover   CLEN = Clean   DIRT = Dirty   DLWM = DeliveryWithoutMatching   DRAW = Drawn   EXER = Exercised			1..1



Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
			RPTO	EXPI = Expired			
			SHOR	FRCL =			
			SPDL	FreeCleanSettle- ment			
			SPST				
			TRAN	KNOC = KnockedOut			
			TRIP	NOMC = NoAu- tomaticMar- ketClaim			
			UNEX	PENS = Pend- ingSale			
				PHYS = Physical			
				RESI = Residual			
				RHYP = Rehy- pothecation			
				RPTO = Report- ing			
				SHOR = ShortSell			
				SPDL = Spe- cialDelivery			
				SPST = SplitSet-			

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
				tlement   TRAN = Trans-formation   TRIP = TripartitySegregation   UNEX = Unexposed			
61	BI	Place of Trade ID- Market Identifier Code	Text [A-Z0-9]{4,4}		If the "Place of Trade ID- Market Identifier Code" is filled in, then "Place of Trade ID- Description" cannot be filled in.  If the "Place of Trade ID- Market Identifier Code" is filled in, a value for the "Place of Trade Type- Code" must be filled in.	0..1	0..1
62	BJ	Place of Trade ID- Description	Text{1,30} ([0-9a-zA-Z\-\?:(\)\.,'+ ]([0-9a-		If the "Place of Trade ID- Description" is filled in, then "Place	0..1	0..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
			zA-Z\-\?:\(\)\.,'\+ ]*/([0-9a-zA-Z\-\?:\(\)\.,'\+ ])?*)		of Trade ID- Market Identifier Code" cannot be filled in.  If the "Place of Trade ID- Description" is filled in, a value for the "Place of Trade Type- Code" must be filled in.		
63	BK	Place of Trade Type- Code	Possible values: PRIM SECM OTCO VARI EXCH		If the "Place of Trade ID- Market Identifier Code" or "Place of Trade ID- Description" is filled in, a value for the "Place of Trade Type- Code" must be filled in	1..1	1..1
64	BL	Place of clearing	VARCHAR (11) Text [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([AZ0-9]{3,3}){0,1}		Shows the BIC code of the Clearing House involved in the settlement (if any).	0..1	0..1
65	BM	Beneficial Ownership	Possible values:		Specifies whether there is change of	0..1	0..1

Flat file column	Excel Column	Column Name	Format	Description	Rules	Occurs per Record	Occurs per Group
			<ul style="list-style-type: none"> <li>  YES</li> <li>  NO</li> </ul>		Beneficial Ownership.		

RestrictedFINMax16Text\_T2S\_1 :

| Pattern: [0-9a-zA-Z|-|?:\(|\)|\.,'|+ ](/?([0-9a-zA-Z|-|?:\(|\)|\.,'|+ ]/?)\*[0-9a-zA-Z|-|?:\(|\)|\.,'|+ ]+)?

### 3.2 Format of "Enriched Files"

The format of the enriched files is based on the format of the Excel and the flat files that have been submitted to DMT. The submitted data remains unchanged but is supplemented with "Further Notifications" and "Statistical Information".

#### 3.2.1 Further Notifications for Static Data records

This data appears in the first row of each Static Data record. It is located right to the migration data.

Flat file column	Excel Column	Field	Format	Description	Rules	Occurs per Record
last +1	last +1	Status	Possible values: <ul style="list-style-type: none"> <li>  Migrated</li> <li>  Not migrated</li> </ul>	Status of the migration.	n/a	1..1
last +2	last +2	Error Code	CHAR (4)	Code of the error.	Occurs when Status is 'Not migrated'.	0..1
last +3	last +3	Error Description	VARCHAR (210)	Description of the error.	Occurs when Status is	0..1

Flat file column	Excel Column	Field	Format	Description	Rules	Occurs per Record
					'Not migrated'.	
last +4	last +4	Error Code 2	CHAR (4)	Code of the error.	Occurs when Status is 'Not migrated'.	0..1
last +5	last +5	Error Description 2	VARCHAR (210)	Description of the error.	Occurs when Status is 'Not migrated'.	0..1
last +6	last +6	Error Code 3	CHAR (4)	Code of the error.	Occurs when Status is 'Not migrated'.	0..1
last +7	last +7	Error Description 3	VARCHAR (210)	Description of the error.	Occurs when Status is 'Not migrated'.	0..1
last +8	last +8	Error Code 4	CHAR (4)	Code of the error.	Occurs when Status is 'Not migrated'.	0..1
last +9	last +9	Error Description 4	VARCHAR (210)	Description of the error.	Occurs when Status is 'Not migrated'.	0..1
last +10	last +10	Error Code 5	CHAR (4)	Code of the error.	Occurs when Status is 'Not migrated'.	0..1
last +11	last +11	Error Description 5	VARCHAR (210)	Description of the error.	Occurs when Status is 'Not migrated'.	0..1

I "last" stands for the last column with migration data

### 3.2.2 Further Notifications for Free of Payment Settlement Instruction and Pending Instructions records

This data appears in the first row of each Free of Payment Settlement Instruction or Pending Instructions record. It is located right to the migration data.

Flat file column	Excel Column	Field	Format	Description	Rules	Occurs per Record
last +1	last +1	Status	Possible values:   Migrated   Not migrated	Status of the migration.	n/a	1..1
last +2	last +2	T2S Reference DELI	VARCHAR (16)	T2S Reference of the delivering instruction	Occurs when Status is 'Migrated'.	0..1
last +3	last +3	T2S Reference RECE	VARCHAR (16)	T2S Reference of the receiving instruction	Occurs when Status is 'Migrated'.	0..1
last +4	last +4	Error Code	CHAR (4)	Code of the error.	Occurs when Status is 'Not migrated'.	0..1
last +5	last +5	Error Description	VARCHAR (210)	Description of the error.	Occurs when Status is 'Not migrated'.	0..1
last +6	last +6	Error Code 2	CHAR (4)	Code of the error.	Occurs when Status is 'Not migrated'.	0..1
last +7	last +7	Error Description 2	VARCHAR (210)	Description of the error.	Occurs when Status is 'Not migrated'.	0..1
last +8	last +8	Error Code 3	CHAR (4)	Code of the error.	Occurs when Status is 'Not migrated'.	0..1

Flat file column	Excel Column	Field	Format	Description	Rules	Occurs per Record
last +9	last +9	Error Description 3	VARCHAR (210)	Description of the error.	Occurs when Status is 'Not migrated'.	0..1
last +10	last +10	Error Code 4	CHAR (4)	Code of the error.	Occurs when Status is 'Not migrated'.	0..1
last +11	last +11	Error Description 4	VARCHAR (210)	Description of the error.	Occurs when Status is 'Not migrated'.	0..1
last +12	last +12	Error Code 5	CHAR (4)	Code of the error.	Occurs when Status is 'Not migrated'.	0..1
last +13	last +13	Error Description 5	VARCHAR (210)	Description of the error.	Occurs when Status is 'Not migrated'.	0..1

- | "last" stands for the last column with migration data
- | For accepted already matched instructions "T2S Reference DELI" and "T2S Reference RECE" is informed.
- | For accepted unmatched instructions, depending on the movement type the corresponding T2S reference column is informed (either "T2S Reference DELI" or "T2S Reference RECE").

### 3.2.3 Further Notifications for Settlement Restriction records

This data appears in the first row of each Settlement Restriction record. It is located right to the migration data.

Flat file column	Excel Column	Field	Format	Description	Rules	Occurs per Record
last +1	last +1	Status	Possible values:   Migrated   Not migrated	Status of the migration.	n/a	1..1
last +2	last +2	T2S Reference	VARCHAR (16)	T2S Reference of the restriction	Occurs when Status is 'Migrated'.	0..1
last +3	last +3	Error Code	CHAR (4)	Code of the error.	Occurs when Status is 'Not migrated'.	0..1
last +4	last +4	Error Description	VARCHAR (210)	Description of the error.	Occurs when Status is 'Not migrated'.	0..1
last +5	last +5	Error Code 2	CHAR (4)	Code of the error.	Occurs when Status is 'Not migrated'.	0..1
last +6	last +6	Error Description 2	VARCHAR (210)	Description of the error.	Occurs when Status is 'Not migrated'.	0..1
last +7	last +7	Error Code 3	CHAR (4)	Code of the error.	Occurs when Status is 'Not migrated'.	0..1
last +8	last +8	Error Description 3	VARCHAR (210)	Description of the error.	Occurs when Status is 'Not migrated'.	0..1
last +9	last +9	Error Code 4	CHAR (4)	Code of the error.	Occurs when Status is 'Not migrated'.	0..1



Flat file column	Excel Column	Field	Format	Description	Rules	Occurs per Record
last +10	last +10	Error Description 4	VARCHAR (210)	Description of the error.	Occurs when Status is 'Not migrated'.	0..1
last +11	last +11	Error Code 5	CHAR (4)	Code of the error.	Occurs when Status is 'Not migrated'.	0..1
last +12	last +12	Error Description 5	VARCHAR (210)	Description of the error.	Occurs when Status is 'Not migrated'.	0..1

I "last" stands for the last column with migration data

### 3.2.4 Statistical Information

This data appears in the 2<sup>nd</sup> row of the spreadsheet. It is located right to the further notifications.

Flat file column	Excel Column	Field	Format	Description	Rules	Occurs per Record
last +12	last +12	Submitted	NUMERIC (10)	Total number of records submitted.	n/a	1..1
last +13	last +13	Migrated	NUMERIC (10)	Total number of records that have been migrated successfully.	n/a	1..1
last +14	last +14	Not Migrated	NUMERIC (10)	Total number or records that have not been migrated due to an error.	n/a	1..1

I "last" stands for the last column with migration data

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### 3.3 Format of Delta Files

The format of the delta files is the same as the format of the Excel and the flat files. The difference is that those records that have been migrated successfully are dropped from the submitted file. The remaining records shall be edited and the file could be submitted again.